

FEDERAL GOVERNMENT OF SOMALIA



Ministry of Finance (MoF)

Somalia Crisis Recovery Project (SCRP) – Additional Financing (AF)

***ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)***

April 2021

Somalia Crisis Recovery Project (SCRP)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. *The Ministry of Finance of the Federal Republic of Somalia (hereinafter the Ministry of Finance) is planning to implement Additional Financing for the **Somalia Crisis Recovery Project - SCR**P (the **Project**), with the possible involvement of the following agencies as service providers/implementing partners: United Nations Office for Project Services (UNOPS), xxx). The International Development Association (hereinafter the Bank or the World Bank) has agreed to provide financing for the Project.*
2. *The Ministry of Finance will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out a summary of the material measures and actions.*
3. *Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions set out in the Environmental and Social Management Framework (ESMF) that will be amended for the AF activities.*
4. *The table below summarizes the material measures and actions that are required as well as the timing of the material measures and actions. The Ministry of Finance of the Federal Republic of Somalia is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.*
5. *Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Ministry of Finance as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.*
6. *As agreed by the Bank and the Ministry of Finance, this ESCP may be further revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Ministry of Finance will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Ministry of Finance. The Ministry of Finance will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.*
7. *Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Ministry of Finance shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, and gender-based violence.*

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<i>Summary of the Material Measures and Actions to Mitigate the Project's Potential Environmental and Social Risks and Impacts</i>	<i>Timeframe</i>	<i>Responsibility / Authority and Resources/Funding Committed</i>	<i>Date of Completion</i>
ESCP Monitoring and Reporting			
<p>REGULAR REPORTING: Prepare and submit regular monitoring reports on the implementation of the ESCP.</p>	<p>Quarterly reporting throughout Project implementation to the World Bank.</p> <p>Service Providers/technical leads report to Project Implementation Unit (PIU) (integrated into the MoF) on a monthly basis.</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Accountability: Head of PIU</p> <p>Funding: Project Funds</p>	<p>Quarterly throughout the Project implementation</p>
<p>INCIDENTS AND ACCIDENTS NOTIFICATION: Promptly notify any incident or accident related or having an impact on the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken to address it, and include information provided by any contractor and supervising entity, as appropriate.</p> <p>Following the World Bank classification of incidents</p>	<p>Report severe incidents to the World Bank within 48 hours after an incident or accident has occurred in line with FGS procedures and the World Bank's Environment and Social Incidence Response Toolkit (ESIRT) to the World Bank, after taking notice of the incident or accident.</p> <p>Report all other incidents to the World</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Accountability: Head of PIU</p> <p>Funding: Project Funds</p>	<p>Throughout the Project implementation</p>

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	Bank in quarterly reports.		

SUMMARY ASSESSMENT

ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS

1.1	<p>ORGANIZATIONAL STRUCTURE: Appoint two experienced Environmental Specialists and one Social Specialist to be dedicated to the project.</p> <p>Mobilize additional staff needed on short-term or long-term assignment in accordance with ESMF institutional assessment/needs, including subject matter specialists on GBV/SEA, labor conditions (health & safety), and social inclusion.</p>	<p>Prior to disbursement for activities under the AF</p> <p>Prior to disbursement for activities under the AF</p> <p>Maintain throughout project implementation</p>	<p>Accountability: Head of PIU</p> <p>Funding: Project Funds</p>	<p>Environmental Specialists and Social Specialist to be retained until the Project is completed</p>
1.2	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT: Scoping of key environmental and social risks and impacts of the Project and identify appropriate mitigation measures to be included in the Environmental and Social Management Framework (ESMF) described in 1.3.</p> <p>Undertake site-specific environmental and social risk screening and impact assessments for sub-projects</p>	<p>Prior to disbursement for activities under the AF</p> <p>Prior to commencement of activities</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Funding: Project Funds</p>	<p>As part of ESMF revisions and ongoing throughout Project assessing the impacts according to ESMF procedures</p>

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<p>1.3 MANAGEMENT TOOLS AND INSTRUMENTS: Update and disclose the existing SCRPP Environmental and Social Framework (ESMF,) which establishes the criteria and procedures for screening sub-project investments and guides the preparation of site-specific Environmental and Social Management Plans (ESMPs) or Environmental and Social Impact Assessments (ESIAs) for higher risk projects (based on ESMF screening criteria), preparation of site-specific ESIAs/ESMPs, including management and monitoring of mitigation measures.</p> <p>Update, adopt and disclose the SCRPP Stakeholder Engagement Plan (SEP, including GRM, satisfactory to the World Bank), including a plan for stakeholder consultations for the AF</p> <p>Prepare site-specific ESIAs/ESMPs in line with the screening and assessment guidelines provided in in the ESMF described in 1.3.</p> <p>Small dams safety guidelines will be followed Prior to retroactive financing</p>	<p>ESMF for component 1 & 2 activities Prior to disbursement and a separate CERC ESMF on activation of CERC co</p> <p>SEP updated prior to AF decision meeting</p> <p>Disclose within 3 months of effectiveness.</p> <p>Prior to commencement of civil works/related project activities</p> <p>Prior to commencement of activities</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Funding: Project Funds</p>	<p>Implemented throughout Project implementation</p>
<p>1.4 MANAGEMENT OF CONTRACTORS: Develop and implement procedures for managing contractors and subcontractors by the following:</p> <ul style="list-style-type: none"> environmental and social requirements will be included in the procurement and contracting process including bidding documents, for potential civil works. 	<p>Prior to the preparation of procurement documents.</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Accountability: Head of PIU</p>	<p>Ongoing throughout Project implementation</p>

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<ul style="list-style-type: none"> • relevant requirements are included in contracts and subcontracts consistent with the requirements of ESSs; • codes of conduct are required for contractors and subcontractors and their workers; • preparation of a detailed construction-ESMP (C-ESMP) that is costed, with sufficient budget to mitigate E&S risks • monitor contractor commitment and compliance with ESSs • providing grievance redress mechanisms for contractor and subcontractor, communities and other stakeholders • ensure contractors provide details on contractor's oversight on environmental, social, health and safety (ESHS) performance • contractor to develop a grievance mechanism to handle concerns of their employees • contractor shall prepare a Labor Management Plan (LMP) 	<p>Maintain procedures throughout Project implementation</p>	<p>Funding: Project Funds</p>	
<p>1.5 PERMIT, CONSENTS AND AUTHORIZATIONS: Obtain or assist in obtaining, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities.</p> <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	<p>Prior to initiating activities that require permits, consents and authorization</p> <p>Prior to procurement of contractors</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Accountability: Head of PIU</p> <p>Funding: Project Funds</p>	<p>Ongoing throughout Project implementation</p>

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1.6	THIRD PARTY MONITORING: An Independent Verification Agent (IVA) will be engaged to provide independent review of environmental and social performance of the sub-projects, including adherence to all aspects of the Project Operations Manual.	Prior to commencement of Project activities	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Throughout Project implementation
ESS 2: LABOR AND WORKING CONDITIONS				
2.1	LABOR MANAGEMENT PROCEDURES: Update SCRП Labor Management Procedures (LMP) as part of the ESMF	Prior to disbursement for activities	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Implement throughout Project implementation
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS: Maintain a grievance redress mechanism (GRM) for direct project workers, including contracted and community workers as part of the LMP	GRM operational prior to engaging project workers.	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation

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2.3	OHS MEASURES: Implement occupational, health and safety (OHS) measures, based on World Bank EHS Guidelines, as part of the amended ESMF	Prior to disbursement for activities Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation.
2.4	EMERGENCY PREPAREDNESS AND RESPONSE: As part of the OHS measures specified in 2.3, include measures on Emergency Preparedness and Response, and ensure coordination with measures under 4.5. Ensure workers and contractors are trained and implement the plan.	Prior to disbursement for activities Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
2.5	PROJECT WORKERS TRAINING: Implement training of project workers designed to heighten awareness of risks and to mitigate impacts on local communities, as defined in ESMF, LMP and GBV/SEA Action Plan.	Prior to initiating construction, with regular refresher training Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT				

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3.1	MANAGEMENT OF WASTE AND HAZARDOUS MATERIALS: As part of the amended ESMF, develop and implement measures and procedures for managing waste and hazardous materials during construction and disposal and locust spraying activities. Contractors will prepare a waste management plan as part of the CESMP.	Prior to initiating construction Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
3.2.	RESOURCE EFFICIENCY As part of the amended ESMF, implement measures and procedures for managing natural resources to avoid impacts on community shared resources and/or adverse environmental impacts. Contractors will prepare respective measures as part of the (C)ESMP. Measures to minimize and/or avoid pollution will equally be integrated.	Prior to initiating construction. Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
ESS 4: COMMUNITY HEALTH AND SAFETY				
4.1	TRAFFIC AND ROAD SAFETY: In consultation with the host communities, implement road safety management measures as part of the amended ESMF. Contractors will develop road safety management plan as part of the CESMP to address the impacts on local communities of moving construction equipment.	General approach will be outlined in the ESMF, site-specific plans prior to initiating construction Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation

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4.2	COMMUNITY HEALTH AND SAFETY: Measures and actions to assess and manage specific risks and impacts outlined in the amended ESMF and subsequent ESMPs. Contractors will prepare a Health and Safety Plan as part of the CESMP.	Prior to initiating construction Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
4.3	GBV AND SEA RISKS: Update the SCRП GBV/SEA/Child Protection Action Plan as necessary, as part of the amended ESMF	Prior to disbursement for activities	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
4.4	GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION: There will be GBV procedures in place, including training and monitoring, pre-deployment and during project implementation. This will be defined in the amended ESMF and through the amended GBV/SEA/Child Protection Action Plan	Prior to initiating construction and maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
4.5	EMERGENCY RESPONSE MEASURES: Measures are defined in the amended ESMF and subsequent ESMPs and included as part of the SEP	Prior to initiating construction Maintained throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation
4.6	USE OF MILITARY OR SECURITY PERSONNEL The following measures shall be adopted, to ensure that the engagement of military and security	Prior to initiating construction	Responsibility: PIU Risk Management Team	Maintained throughout Project implementation

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<p><i>personnel for provision of security to Project workers, sites and/or assets, is carried out in accordance with the ESSs</i></p> <ul style="list-style-type: none"> <i>a) Assess the risks and impacts of engagement of the military and security personnel, and implement measures to manage such risks and impacts; amend the SCRП Security Management Plan, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such security personnel;</i> <i>b) Adopt and enforce standards, protocols and codes of conduct for the selection and use of military and security personnel, and screen such personnel to verify that they have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force;</i> <i>c) Ensure that PIU enters into a memorandum of understanding (MoU), with the Ministry of Defense and the NISA setting out the arrangements for the engagement of the military and security personnel under the Project, including compliance with the relevant requirements of this ESCP;</i> <i>a) Ensure that such personnel is adequately instructed and trained, prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-military engagement, SEA and SH, and other relevant areas), as set out in the Project Operational Manual, GBV/SEA Action Plan, SMP, and LMP.</i> 	<p><i>Maintained throughout Project implementation</i></p>	<p><i>Accountability: Head of PIU</i></p> <p><i>Funding: Project Funds</i></p>	

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<p>b) <i>Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include a communication strategy on the involvement of military and security personnel under the Project;</i></p> <p>c) <i>Ensure that any concerns or grievances regarding the conduct of military and security personnel are received, monitored, documented (taking into account the need to protect confidentiality), resolved through the Project's grievance mechanism and reported to the military and security personnel. Where the Bank shall require, after consultation with the Borrower: (i) promptly appoint a third-party monitor consultant, with terms of reference, qualifications and experience satisfactory to the Bank, to visit and monitor the Project area where military and security personnel are deployed, collect relevant data and communicate with Project stakeholders and beneficiaries; (ii) require the third-party monitor consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the Bank; and (iii) promptly take any actions, as may be requested by the Bank upon its review of the third-party monitor consultant reports.</i></p>			
<p>4.7 TRAINING FOR THE COMMUNITY: <i>Outlined in SEP</i></p> <ul style="list-style-type: none"> • <i>Implementing Partners shall conduct trainings for the community designed to heighten awareness of environmental and social risks and impacts and mitigation measures including trainings on (not exhaustive):</i> • <i>Communicable diseases/HIV-AIDS/STI/GBV awareness and prevention</i> 	<p><i>Prior to initiating construction, with regular refresher training</i></p>	<p><i>Responsibility: PIU Risk Management Team</i></p> <p><i>Accountability: Head of PIU</i></p> <p><i>Funding: Project Funds</i></p>	<p><i>Maintained throughout Project implementation</i></p>

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	<ul style="list-style-type: none"> Community grievance redress mechanism as described in the SEP/ ESMF Road Safety Awareness 						
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT							
5.1	<p>LAND ACQUISITION AND RESETTLEMENT: The project activities are not expected to require land acquisition, restrictions on land use or involuntary resettlement. In the event that land is needed, it will be identified through a participatory community process and in line with voluntary land donation guidelines as outlined in the amended ESMF and in the SCRPP Resettlement Policy Framework (RPF)</p> <p>A list of limitation on different types of interventions is included in the amended ESMF, to be screened during subproject development. The amended ESMF lays out respective screening procedures.</p> <p>Special circumstances requiring a different approach will be addressed on an ad-hoc base following prior review of detailed plans to the satisfaction of the Bank.</p>	<p>Before start of activities and release of funds</p> <p>Maintained throughout Project implementation</p>	<p>Responsibility: PIU Risk Management Team</p> <p>Accountability: Head of PIU</p> <p>Funding: Project Funds</p>	<p>Maintained throughout Project implementation</p>			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES							
6.1	<p>BIODIVERSITY RISKS AND IMPACTS: As part of the amended ESMF and, develop and implement screening procedures for biodiversity risks and impacts likely to occur from implementing project activities. A list of limitation on different types of interventions are included in the amended ESMF</p>	<p>Prior to disbursement for activities</p>	<p>Responsibility: PIU Risk Management Team/FAO</p> <p>Accountability: Head of PIU/FAO</p> <p>Funding: Project Funds</p>	<p>Maintained throughout Project implementation.</p>			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES							

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7.1	This standard is not relevant, as there are no persons that meet the identification criteria for IP/SSAHUTLCs		
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ESS 8: CULTURAL HERITAGE

8.1	CULTURAL HERITAGE AND CHANCE FINDS: Implement Chance Find Procedures as part of the amended ESMF.	Prior to and maintained throughout constructio.	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Maintained throughout Project implementation.
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ESS 9: FINANCIAL INTERMEDIARIES

This standard is not relevant, as the project has no financial intermediaries

ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE

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10.1	SEP PREPARATION: Update and disclose SEP to include plans for consultations on the AF, and continuously update	Prior to disbursements for activities To be updated regularly throughout Project implementation	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Throughout project implementation		
10.2	SEP IMPLEMENTATION: Implement the updated SEP	As of May, 2021	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Throughout project implementation		
10.3	PROJECT GRIEVANCE MECHANISM: Implement the Project Grievance Redress Mechanism, as part of the SEP	Prior to effectiveness	Responsibility: PIU Risk Management Team Accountability: Head of PIU Funding: Project Funds	Throughout Project implementation		
10.4						
Capacity Support						
Specify Training to be provided		Specify Targeted Groups and Timeframe for Delivery	Specify Training Completed			
All capacity building activities and trainings will be further detailed in the amended ESMP, trainings may be required in: <ul style="list-style-type: none"> Stakeholder engagement 		PIU, IPs, project workers, community workers				

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<ul style="list-style-type: none"> • <i>Subprojects Environmental and Social screening</i> • <i>World Bank ESS</i> • <i>Occupational and Community Health and Safety</i> • <i>Emergency preparedness and response</i> • <i>Gender-Based Violence Risk Mitigation</i> • <i>Grievance Management</i> • <i>Implementation, Monitoring and reporting of ESMPs</i> • <i>Labor management procedures</i> • <i>Security Management</i> 			